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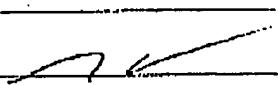


P.O. 5/21 (18-00)

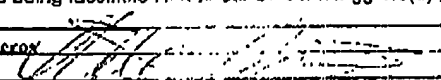
Approved for use through 10/1/2004, OMD 0651-0031

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|--|--|--|----------------------|--|--|--|
| <h2>TRANSMITTAL FORM</h2> <p>(to be used for all correspondence after initial filing)</p> | | Application Number | 09/942,032 | | | |
| | | Filing Date | August 28, 2001 | | | |
| | | First Named Inventor | YAMASHITA, THOMAS T. | | | |
| | | Class and Unit | 3643 | | | |
| | | Examiner Name | Goffner, Jeffrey L. | | | |
| Total Number of Pages in This Submission | | 13 | Agency File Number | YAMA-001CON9 | | |
| ENCLOSURES (attach all that apply) | | | | | | |
| <table border="0"> <tr> <td> <input checked="" type="checkbox"/> Fee Transmittal Form In Duplicate <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.62 or 1.63 </td> <td> <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Extension <input type="checkbox"/> CD, Number of CD(s) </td> <td> <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interventions <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): </td> </tr> </table> | | | | <input checked="" type="checkbox"/> Fee Transmittal Form In Duplicate <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.62 or 1.63 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Extension <input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interventions <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input checked="" type="checkbox"/> Fee Transmittal Form In Duplicate <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.62 or 1.63 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Extension <input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interventions <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): | | | | |
| Remarks | | | | | | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | | | | |
| Firm or Individual Name Signature Date | SUSAN C. TALL, Reg. No. 52,272  April 5, 2004 | | | | | |

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| CERTIFICATE OF FACSIMILE TRANSMISSION | |
|--|--|
| I hereby certify that this correspondence is being facsimile filed under 37 C.F.R. §§ 1.6(d) and 1.8(a)(1)(b) addressed to (703) 872-0306 on this date: April 5, 2004. | |
| Typed or printed name | Martha Cisneros |
| Signature |  |
| Date | April 5, 2004 |

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PTO 1517 (10-03)

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**FEE TRANSMITTAL
for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$100.00)

Complete if Known

Application Number 09/942,032
 Filing Date August 28, 2001
 Inventor YAMASHITA, THOMAS T.
 Examiner Name GELLNER, JEFFREY L.
 Art Unit 3643
 Priority/Related No. YAMA-001CON9

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:

Deposit Account Number 50-0815
 Deposit Account Name Bozicevic, Field & Francis LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge Any Additional Fee(s) Required under 37 C.F.R. 1.17.☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee | Small Entity Fee | Fee Description |
|------------------|------------------|------------------------|
| 1001 770 | 2001 385 | Utility filing fee |
| 1002 340 | 2002 170 | Design filing fee |
| 1003 530 | 2003 265 | Plant filing fee |
| 1004 770 | 2004 385 | Reissue filing fee |
| 1005 180 | 2005 80 | Provisional filing fee |

SUBTOTAL (1)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 25 | -20** = 5 | x 9.00 | = 45.00 |
| Indep. Claims 3 | -3** = | x | = |
| Multiple Dependent | | | = |

Multiple Dependent

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$) Fee Description

1202 10 2202 0 Claims in excess of 20

1201 00 2201 43 Independent claims in excess of 3

1203 290 2203 145 Multiple dependent claim, if not paid

1204 00 2204 43 ** Reissue independent claims over original patent

1205 10 2205 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$45.00

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

| Large Entity Fee | Small Entity Fee | Fee Description |
|------------------|------------------|---|
| 1051 100 | 2051 05 | Surcharge - late filing fee or entry |
| 1052 50 | 2052 25 | Surcharge - late provisional filing fee or cover sheet |
| 1053 100 | 2053 130 | Non English specification |
| 1054 2,420 | 2054 7,520 | For filing a request for ex parte reexamination |
| 1004 0.00* | 2004 0.00* | Requesting publication of SIF prior to Examination action |
| 1002 1,040 | 2002 1,040 | Requesting publication of SIF after Examination action |
| 1251 100 | 2251 55 | Extension for reply within first month |
| 1252 400 | 2252 210 | Extension for reply within second month |
| 1253 500 | 2253 475 | Extension for reply within third month |
| 1254 1,100 | 2254 740 | Extension for reply within fourth month |
| 1255 2,000 | 2255 1,005 | Extension for reply within fifth month |
| 1401 0.00 | 2401 165 | Notice of Appeal |
| 1402 0.00 | 2402 105 | Filing a brief in support of an appeal |
| 1101 200 | 2101 145 | Request for oral hearing |
| 1451 1,510 | 2451 1,510 | Petition to institute a public use proceeding |
| 1452 100 | 2452 55 | Petition to revive - unavoidable |
| 1453 1,320 | 2453 665 | Petition to revive - unintentional |
| 1501 1,050 | 2501 05 | Utility issue fee (or release) |
| 1502 400 | 2502 240 | Design issue fee |
| 1503 0.00 | 2503 320 | Plant issue fee |
| 1403 100 | 2403 130 | Petitions to the Commissioner |
| 1801 50 | 2801 50 | Proceeding fee under 37 CFR 1.17(a) |
| 1802 100 | 2802 180 | Submission of Information Disclosure Sheet |
| 1803 40 | 2803 40 | Recording each patent assignment per property (times in number of properties) |
| 1804 700 | 2804 385 | Filing a submission after final action (37 CFR § 1.129(a)) |
| 1805 700 | 2805 385 | For each additional invention to be examined (37 CFR § 1.129(b)) |
| 1806 700 | 2806 385 | Request for Continued Examination (RCE) |
| 1807 0.00 | 2807 900 | Request for expedited examination of a design application |

ORIGIN (Priority)

*Required by Utility Filing Fee Paid

SUBTOTAL (3) (\$)

55.00

SUBMITTED BY

Name (Print/Type) Susan C. Tall

Signature

Date 04/05/2004

Complete (if applicable)

Registration No.

(Agency Spec)

52,272

Filing Fee

(550) \$5,770

Date

04/05/2004

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is by title (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application to the USPTO. Time will vary depending on the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND THIS OR OTHER FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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